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OF PAGES (INCLUDING COVER): 6 FILE NAME: Akrion
DATE: February 4, 2004 FILE #: 108430.035A
SERIAL NUMBER 10/699,042 Filing Date: 10/31/03

SUBSTRATE PROCESS TANK WITH ACOUSTICAL
SOURCE TRANSMISSION AND METHOD OF
PROCESSING SUBSTRATES

RECIPIENT(S)	PHONE	FAX
USPTO - Information Disclosure		703 872-9306

MESSAGE: Following is Transmittal Form, Information Disclosure Statement and PTO/SB/08a citing 8 US References. References are not attached.

Total Sheets including this cover sheet: 6

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TRANSMITTAL FORM <i>(to be used for all correspondence after initial filing)</i>	Application Number	10/699,042
	Filing Date	October 31, 2003
	First Named Inventor	Korbler
	Art Unit	unknown
	Examiner Name	unknown
Total Number of Pages in This Submission	Attorney Docket Number	108430.035A

ENCLOSURES (check all that apply)		
<input type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Fee Attached <input type="checkbox"/> Amendment / Reply <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input checked="" type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Response to Missing Parts/Incomplete Application <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s)	<input type="checkbox"/> After Allowance Communication to Group <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input type="checkbox"/> Other Enclosure(s) <i>(please identify below):</i>
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SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT		
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Signature	<i>Brian L. Belles</i>	
Date	2/4/04	

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This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

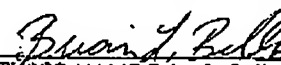
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FEB 04 2004

108430.035A

OFFICIAL**PATENT****IN THE UNITED STATES PATENT AND TRADEMARK OFFICE****In Re Application of: Korbler et al;****Serial No.: 10/699,042****Group Art Unit: Not Yet Assigned****Filing Date: October 31, 2003****Examiner: Not Yet Assigned****For: SUBSTRATE PROCESS TANK WITH ACOUSTICAL SOURCE
TRANSMISSION AND METHOD OF PROCESSING SUBSTRATES**

DATE OF DEPOSIT: 2/4/04
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TYPED NAME Brian L. Belles
REGISTRATION NO: 51,322

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Dear Sir:

INFORMATION DISCLOSURE STATEMENT

Pursuant to 37 C.F.R. §§ 1.56 and in accordance with 37 C.F.R. §§ 1.97 and 1.98, information relating to the above-identified application is hereby disclosed, the Examiner in charge of the above-identified application is requested to consider and make of record the references listed on the PTO Form SB/08A and PTO Form SB/08B, formerly known as PTO Form 1449 submitted herewith.

Inclusion of the information submitted herewith is not to be construed as an admission that the information is material as that term is defined in 37 C.F.R. § 1.56(b).

In accordance with 37 C.F.R. § 1.97(g), the filing of this Information Disclosure Statement shall not be construed to mean that a search has been made.

108430.035A

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PATENT

This Information Disclosure Statement is being filed:

- ☐ within three months of the filing date of the patent application.
- ☐ within three months of the date of entry into the national stage as set forth in 37 C.F.R. § 1.491 of the international application.
- ☒ before the mailing date of a first Office Action on the merits.
- ☒ Copies of references (A-H) listed on the attached PTO Form SB/08A and PTO Form SB/08B, formerly known as PTO Form 1449 are **not** enclosed.

Statement under 37 C.F.R. § 1.97(e)

- ☐ The undersigned attorney hereby states that each item information contained in the Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign patent application not more than three months prior to the filing of the Information Disclosure Statement.

Petition

- ☐ Applicant(s) hereby petitions the Assistant Commissioner to consider the references listed in this Information Disclosure Statement, on the enclosed PTO Form SB/08A and PTO Form SB/08B, formerly known as PTO Form 1449, and the examination of the above-identified patent application.

Fees

- ☒ No Fee is owed by the applicant(s).
- ☐ The Information Disclosure Statement Fee of \$180.00 under 37 C.F.R. § 1.17(p) is enclosed herewith.
- ☐ The Petition Fee of \$130.00 under 37 C.F.R. § 1.17(i)(1) is enclosed herewith.

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- ☐ Attached is a check in the amount of \$_____. This form is submitted in duplicate.
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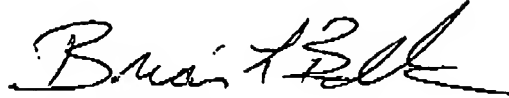
108430.035A

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PATENT

☒ No fee or Statement is required under 37 C.F.R. § 1.97(b).

Respectfully submitted,



Brian L. Belles

Registration No. 51,322

Dated: 2/4/04

COZEN O'CONNOR, P.C.
1900 Market Street, 6th Floor
Philadelphia, PA 19103-3508
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PTO/SB/C8a (05-03)

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Substitute for form 1449A/PTO

**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**

(use as many sheets as necessary)

Sheet 1

of

1

Complete If Known

Application Number	10/699,042
Filing Date	October 31, 2003
First Named Inventor	Korbler
Art Unit	unknown
Examiner Name	unknown
Attorney Docket Number	108430.035A

U.S. PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number - Kind Code ² (if known)			
	A	US-4,804,007	Feb. 14, 1989	Bran	
	B	US-5,037,481	August 6, 1991	Bran	
	C	US-4,869,278	Sept. 20, 1989	Bran	
	D	US-4,998,549	March 12, 1991	Bran	
	E	US-6,222,305	April 24, 2001	Beck	
	F	US-6,188,162	Feb. 13, 2001	Vennerback	
	G	US-4,738,760	April 12, 1988	Coberly	
	H	US-2002/0050768	May 2, 2002	Beck	
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FOREIGN PATENT DOCUMENTS

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		Country Code ³ - Number ⁴ - Kind Code ⁵ (if known)				

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*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. 1 Applicant's unique citation designation number (optional). 2 See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. 3 Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). 4 For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. 5 Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. 6 Applicant is to place a check mark here if English language Translation is attached.

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